ED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

CHRISTER O. ANDREASSON, ET AL.

Appl. No.

10/086,183

Filing Date

02/26/2002

Title

SYSTEMS AND METHODS FOR TRACKING PHARMACEUTICALS

WITHIN A FACILITY

**Group Art Unit** 

2632

Examiner

Unassigned

Docket No.

706737.38 (263/292US)

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#7 2632

SEP 1 0 2003

Technology Center 2600

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

CERTIFICATE OF MAILING (37 CFR §1.8)

I hereby certify, pursuant to 37 CFR §1.8, that I have reasonable basis to expect that that this paper or fee (along with any referred to as being attached or enclosed) would be mailed or transmitted on or before the date indicated with the United States Postal Service with sufficient postage as first class mail on the date shown below in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Document

DOCSOC1:141231.1

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Applicant Appl. No. Examiner

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## INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

$\boxtimes$	This I	OS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b),
that is	(1) with	nin three months of the filing date of the application, which is not a continued
prosec	cution a	pplication filed under § 1.53(d); or (2) within three months of entry of the national
stage	as set f	orth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the
merits	; or (4)	before the mailing of a first Office action after filing a request for continued
exami	nation ι	under § 1.114. Thus, no fee is required.
	$\boxtimes$	However, if the undersigned is in error in this regard, Applicant respectfully
		requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if
		applicable, and charge the fee due under 37 CFR §1.17(p) to the deposit
		account referenced below.
		However, if the undersigned is in error in this regard, Applicant respectfully
		requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if
		applicable, and a statement under 37 CFR § 1.97(e) is included below, thus
		no fee is required.
	This I	OS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office
action	on the	merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance
under	37 CFF	R § 1.311.
		The fee due under 37 CFR § 1.17(p) is submitted herewith.
		A statement under 37 CFR § 1.97(e) is included below, thus no fee is
		required. In the event that this IDS is not received before a Final Action or a
		Notice of Allowance, then Applicant respectfully requests that the Office
		consider the filing of these papers to be submitted under 37 CFR § 1.97(d)
		and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.
	This ID	OS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37
CFR §		or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue
fee. A	statem	nent under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR
§ 1.17	(p) is sı	ubmitted herewith.

CHRISTER O. ANDREASSON, ET AL.

10/086,183

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	STATEMENT UNDER 37 CFR § 1.97(e):							
	Each item contained in this IDS was first cited in any communication from a foreign							
patent	office in a counterpart foreign application not more than three months prior to the filing of							
this ID	S.							
	No item contained in this IDS was cited in a communication from a foreign patent office							
in a co	ounterpart foreign application, and, to the knowledge of the person signing this statement							
after n	naking reasonable inquiry, no item of information contained in this IDS was known to any							
individ	lual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.							
	PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:							
	A check in the amount of is enclosed for the above fee(s).							
	Please charge to Deposit Account No. 15-0665 for the above fee(s).							
$\boxtimes$	The Commissioner is authorized to charge any fees required by the filing of these							
	papers, and to credit any overpayment to Orrick, Herrington & Sutcliffe's Deposit							
	Account No. 15-0665.							
	Respectfully submitted,							
	ORRICK, HERRINGTON & SUTCLIFFE LLP							
Dated	By: Samuel B. Stone Reg. No. 19,297							

ORRICK, HERRINGTON & SUTCLIFFE LLP 4 Park Plaza, Suite 1600 Irvine, CA 92614 949/567-6700 Telephone 949/567-6710 Facsimile

PTO/SB/08A (10-96)

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Substitute for fo	orm 1449A/PTO			Complete if Known		
	SUPPLE	MENTAL		Application Number	10/086,183	
11	NFORMATION	I DISCLOSUR	E	Filing Date	02/26/2002	
5	STATEMENT E	BY APPLICAN	T	First Named Inventor	Christer O. Andreasson	
				Group Art Unit	2632	
	(use as many she	ets as necessary)		Examiner Name	Unassigned	
Sheet	1	of	1	Attorney Docket Number	706737.38 (263/292US)	

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. Patent I	Document  Kind Code <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	2002/0195413	A1	Eastman et al.	12/26/2002		
	2	4,688,026		Scribner et al.	8/18/1987	·	
	3	4,717,042		McLaughlin	1/5/1988		
	4	4,785,969 4		McLaughlin	11/22/1988		
	5	5,014,875		McLaughlin et al.	5/14/1991		
•	6	5,460,294		Williams	10/24/1995		
	7	5,520,450		Colson, Jr. et al.	5/28/1996		
	8	5,661,978		Holmes et al.	9/2/1997		
	9	5,818,021		Szewczykowski	10/6/1998	1-1-1-1	
	10	6,039,249		Szewczykowski	3/21/2000		
	11	6,109,774		Holmes et al.	8/29/2000		
	12	6,116,461/		Broadfield et al.	9/12/2000		
	13	6,338,007 /		Broadfield et al.	1/8/2002		
	14	6,339,732 🅢		Phoon et al.	1/15/2002		
	15	6,361,263		Dewey et al.	3/26/2002		
	16	6,499,270		Peroni et al.	12/31/2002		

FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. <sup>1</sup>	Fore Office <sup>3</sup>	eign Patent Docu Number <sup>4</sup>	ument Kind Code⁵	Name of Patentee or Applicant of Cited Document	Date of Publications of Cited Documents MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>6</sup>
			•		•			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials'	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			

Examiner	DOCSOC1:141230.1	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.)). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.